



3763

Docket No. CRD-0967

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicants : Stanko Bodnar, et al.

Serial No. : 09/966,783

Art Unit: 3763

Filed : September 28, 2001

Examiner:

For : COATED MEDICAL DEVICES AND STERILIZATION THEREOF

I hereby certify that this correspondence is being deposited with the United States Postal Service as first class mail in an envelope addressed to: Commissioner For Patents, Washington, D.C. 20231 on

December 17, 2001

(Date of Deposit)

Carl J. Evens  
(Name of applicant, assignee, or Registered Representative)



(Signature)

December 17, 2001

(Date of Signature)

Commissioner for Patents  
Washington, D.C. 20231

INFORMATION DISCLOSURE STATEMENT

Dear Sir:

Pursuant to 37 C.F.R. §1.56 and in accordance with 37 C.F.R. §§1.97-1.98, information relating to the above-identified application is hereby disclosed. Inclusion of information in this statement is not to be construed as an admission that this information is material as that term is defined in 37 C.F.R. §1.56 (b).

Applicant(s) reserve(s) the right to establish the patentability of the claimed invention over any of the information provided herewith, and/or to prove that this information may not be prior art, and/or to prove that this

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information may not be enabling for the teachings purportedly offered.

This statement should not be construed as a representation that a search has been made, or that information more material to the examination of the present patent application does not exist.

In accordance with §1.97(b), since this Information Disclosure Statement is being filed either within three months of the filing date of the above-identified national application (other than a continued prosecution application under §1.53(d)), within three months of the date of entry into the national stage of the above identified application as set forth in §1.491, or before the mailing date of a first Office Action on the merits of the above-identified application, or before the mailing date of a first Office Action after the filing of a request for continued examination under §1.114, no additional fee is required.

In accordance with §1.129(a), this Information Disclosure Statement is being filed in connection with  the first or  second After Final Submission, therefore:

Statement in Accordance with §1.97(e)  
(attached); or  
 Please charge Deposit Account No. 10-0750/ /  
the fee of \$180.00 as set forth in §1.17(p).

In accordance with §1.97(c), this Information Disclosure Statement is being filed after the period set forth in §1.97(b) above but before the mailing date of either a Final Action under §1.113 or a Notice of Allowance under §1.311, or an action that otherwise closes prosecution and that it is accompanied by one of:

- Statement in Accordance with §1.97(e)  
(attached); or
- Please charge Deposit Account No. 10-0750/ /  
the fee of \$180.00 as set forth in §1.17(p).

In accordance with §1.97(d), this Information Disclosure Statement is being filed after the mailing date of either a Final Action under §1.113 or a Notice of Allowance under §1.311 but before the payment of the Issue Fee. Applicant(s) hereby petition(s) for consideration of this Information Disclosure Statement. Included are: Statement in Accordance with §1.97(e) as set forth below and the fee of \$180.00 as set forth in §1.17(p).

- Copies of each of the references listed on the attached Form PTO-1449 are enclosed herewith.
- Copies of references listed on the attached Form PTO-1449 are enclosed herewith EXCEPT THAT:
  - In view of the voluminous nature of references [list as appropriate], and the likelihood that these references are available to the Examiner, copies are not enclosed herewith.
  - If any of the foregoing publications are not available to the Examiner, Applicant will endeavor to supply copies at the Examiner's request.
- There are no listed references which are not in the English language.

The relevance of those listed references which are not in the English language is as follows:

Attached are copies of search report(s) from corresponding patent application(s), which are listed on the attached Submission Under MPEP 609 D.

Attached are the following non-published pending patent applications which may be deemed relevant, which are listed on the attached Submission Under MPEP 609 D.

Please charge any deficiency or credit any overpayment to Deposit Account No. 10-0750/CRD-0967/CJE. This form is submitted in triplicate.

Respectfully submitted,

  
\_\_\_\_\_  
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Reg. No. 33874  
Attorney for Applicants

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DATED: December 17, 2001



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PTO/SB/08A (08-00)

Approved for use through 10/31/2002. OMB 0651-0031

U.S. Patent and Trademark Office: U.S. DEPARTMENT OF COMMERCE

Substitute for form 1449A/PTO		Application Number	09/966,783
INFORMATION DISCLOSURE STATEMENT BY APPLICANT (use as many sheets as necessary)		Filing Date	September 28, 2001
Sheet 1 of 10		First Named Inventor	Stanko Bodnar et al.
		Group Art Unit	3763
		Examiner Name	
		Attorney Docket Number	CRD-0967

U.S. PATENT DOCUMENTS

Examiner Initials	Cite No. <sup>1</sup>	U.S. Patent Document		Name of Patentee or Applicant of Cited Document	Date of Publication of Cited Document mm-dd-yyyy	Pages, Columns, Lines, where relevant passages or relevant figures appear
		Kind Code <sup>2</sup> Number	(if known)			
		D359,802		Fontaine	6/27/1995	
		3,932,627		Margraf	01-13-1976	
		4,441,216		Ionescu et al.	4/10/1984	TC 3700
		4,580,568		Gianturco	4/8/1986	JAN 10 2002
		4,800,882		Gianturco	1/31/1989	RECEIVED
		4,856,516		Hillstead	8/15/1989	MAIL ROOM
		4,886,062		Wiktor	12/12/1989	
		4,907,336		Gianturco	3/13/1990	
		4,969,458		Wiktor	11/13/1990	
		4,990,131		Dardik	2/5/1991	
		4,990,155		Wilkoff	2/5/1991	
		4,994,071		MacGregor	2/19/1991	
		5,015,253		MacGregor	5/14/1991	
		6,120,536		Dinge et al.	09-19-2000	

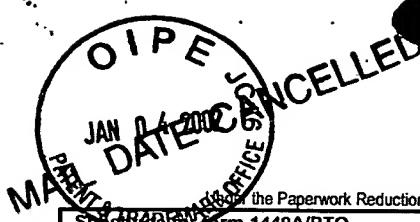
FOREIGN PATENT DOCUMENTS

Examiner Initials	Cite No. <sup>1</sup>	Foreign Patent Document			Name of Patentee or Applicant of Cited Document	Date of Publication of Cited Document mm-dd-yyyy	Pages, Columns, Lines, where relevant passages or relevant figures appear	T <sup>6</sup>
		Office <sup>3</sup>	Number <sup>4</sup>	KindCode <sup>5</sup>				
		EP	0 712 615	✓	Advanced Cardiovascular Systems Inc.	5/22/96		
		EP	0 716 836	✓	Advanced Cardiovascular Systems Inc.	6/19/96		
		EP	0 761 251	✓	Kabushikikaisha Igaki Iryo Sekkei	3/12/97		
		EP	0 850 651	✓	Schneider Inc.	7/1/98		
		WO	96/32907	✓	Schneider Inc.	10/24/96		
		WO	98/23228	✓	Alza Corporation	6/4/98		
		WO	98/34669	✓	Ensovasc Ltd. Inc.	8/13/98		

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1 Unique citation designation number. 2 See attached Kinds of U.S. Patent Documents. 3 Enter Office that issued the document, by the two-letter



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Information Disclosure Statement by Applicant

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## INFORMATION DISCLOSURE STATEMENT BY APPLICANT

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Sheet 2 of 10

Application Number

09/966,783

Filing Date

September 28, 2001

First Named Inventor

Stanko Bodnar et al.

Group Art Unit

3763

Examiner Name

Attorney Docket Number

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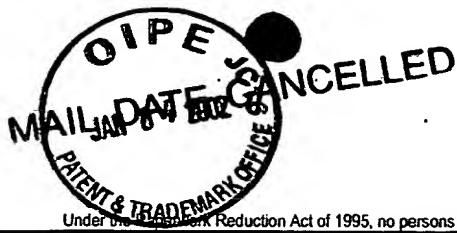
### U.S. PATENT DOCUMENTS

Examiner Initials JC16	Cite No. <sup>1</sup>	U.S. Patent Document		Name of Patentee or Applicant of Cited Document	Date of Publication of Cited Document mm-dd-yyyy	Pages, Columns, Lines, where relevant passages or relevant figures appear
		Number	Kind Code <sup>2</sup> (if known)			
		5,035,706		Gianturco	7/30/1991	
		5,041,126		Gianturco	8/20/1991	
		5,064,435		Porter	11/12/1991	
		5,104,404		Wolff	4/14/1992	
		5,116,365		Hillstead	5/26/1992	
		5,122,154		Rhodes	6/16/1992	
		5,131,908		Dardik et al.	7/21/1992	
		5,133,732		Wiktor	7/28/1992	
		5135,536		Hillstead	8/4/1992	
		5,163,958		Pinchuk	11/17/1992	
		5,171,262		MacGregor	12/15/1992	
		5,176,660		Truckai	1/5/1993	
		5,178,618		Kandarpa	1/12/1993	
		5,192,307		Wall	3/9/1993	
		5,217,483		Tower	6/8/1993	
		5,222,971		Willard et al.	6/29/1993	
		5,234,456		Silvestrini, T.	8/10/93	
		5,246,445		Yachia et al.	9/21/93	
		5,258,021		Duran	11/2/93	
		5,266,073		Wall	11/30/93	
		5,275,622		Lazarus et al.	1/4/94	
		5,282,823		Schwartz et al.	2/1/94	
		5,282,824		Gianturco	2/1/94	
		5,292,331		Boneau	3/8/94	
		5,304,200		Spaulding	4/19/94	

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## INFORMATION DISCLOSURE STATEMENT BY APPLICANT

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Sheet 3 of 10

### U.S. PATENT DOCUMENTS

Examiner Initials	Cite No. <sup>1</sup>	U.S. Patent Document		Name of Patentee or Applicant of Cited Document	Date of Publication of Cited Document mm-dd-yyyy	Pages, Columns, Lines, where relevant passages or relevant figures appear TC 3700 MAIL ROOM
		Number	Kind Code <sup>2</sup> (if known)			
		5,314,444		Gianturco	5/24/1994	
		5,314,472		Fontaine	5/24/1994	
		5,334,301		Heinke et al.	8/2/1994	
		5,342,348		Kaplan, A.	8/30/1994	
		5,342,387		Summers	8/30/94	
		5,354,257		Roubin et al.	10/11/94	
		5,354,308		Simon et al.	10/11/94	
		5,366,504		Andersen et al.	11/22/94	
		5,370,683		Fontaine	12/6/94	
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		5,375,612		Cottenceau et al.	12/27/94	
		5,376,112		Duran	12/27/94	
		5,382,261		Palmaz	1/17/95	
		5,383,928		Scott et al.	1/24/1995	
		5,387,235		Chuter	2/7/95	
		5,389,106		Tower	2/14/95	
		5,395,390		Simon et al.	3/7/95	
		5,397,355		Marin et al.	3/14/95	
		5,403,341		Solar	4/4/95	
		5,405,377		Cragg	4/11/95	
		5,411,549		Peters	5/2/95	
		5,423,885		Williams	6/13/95	
		5,441,515		Khosravi et al.	8/15/95	
		5,441,516		Wang et al.	8/15/95	
		5,443,477		Marin et al.	8/22/95	
		5,443,496		Schwartz et al.	8/22/95	

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### U.S. PATENT DOCUMENTS

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		Number	Kind Code <sup>2</sup> (if known)			
		5,443,498		Fontaine	8/22/95	
		5,443,500		Sigwart	8/22/95	
		5,449,372		Schmaltz et al.	9/12/95	
		5,449,373		Pinchasik et al.	9/12/95	
		5,449,382		Dayton, M.	9/12/95	
		5,464,450		Buscemi et al.	11/7/95	
		5,464,650		Berg et al.	11/7/95	
		5,500,013		Buscemi et al.	3/19/96	
		5,510,077		Dinh et al.	4/23/96	
		5,545,208		Wolff et al.	8/13/96	
		5,554,954		Takahashi	9/10/96	
		5,554,182		Dinh et al.	9/10/96	
		5,562,922		Lambert, T.	10/8/96	
		5,571,166		Dinh et al.	11/5/96	
		5,578,075		Dayton, M.	11/26/96	
		5,591,224		Schwartz et al.	1/7/97	
		5,591,227		Dinh et al.	1/7/97	
		5,599,352		Dinh et al.	2/4/97	
		5,603,722		Phan et al.	2/18/97	
		5,605,696		Eury et al.	2/25/97	
		5,607,463		Schwartz et al.	3/4/97	
		5,607,475		Cahalan et al.	3/4/97	
		5,609,629		Fearnott et al.	3/11/97	
		5,624,411		Tuch, R.	4/29/97	
		5,628,785		Schwartz et al.	5/13/97	
		5,629,077		Turnlund et al.	5/13/97	
		5,632,840		Campbell, P.	5/27/97	

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### U.S. PATENT DOCUMENTS

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		Number	Kind Code <sup>2</sup> (if known)			
		5,632,763		Glastra, H.	5/27/97	
		5,637,113		Tartaglia et al.	6/10/1997	
		5,643,312		Fischell et al.	7/1/97	
		5,669,924		Shaknovich, A.	9/23/97	
		5,679,400		Tuch, R.	10/21/1997	
		5,679,659		Verhoeven et al.	10/21/1997	
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		5,700,286		Tartaglia et al.	12/23/1997	
		5,707,385		Williams, M.	1/13/1998	
		5,725,567		Wolff et al.	3/10/1998	
		5,728,420		Keogh, J.	3/17/1998	
		5,733,327		Igaki et al.	3/31/1998	
		5,735,897		Buirge, A.	4/7/1998	
		5,755,734		Richter et al.	5/26/98	
		5,799,384		Schwartz et al.	9/1/98	
		5,800,507		Schwartz, R.	9/1/98	
		5,800,508		Goicoechea et al.	9/1/98	
		5,820,918		Ronan et al.	10/13/98	
		5,824,048		Tuch, R.	10/20/98	
		5,824,049		Ragheb et al.	10/20/98	
		5,833,651		Donovan et al.	11/10/98	
		5,837,008		Berg et al.	11/17/98	
		5,843,172		Yan, J.	12/1/98	
		5,849,034		Schwartz, R.	12/15/98	
		5,851,217		Wolff et al.	12/22/98	

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## INFORMATION DISCLOSURE STATEMENT BY APPLICANT

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Application Number

09/966,783

Filing Date

September 28, 2001

First Named Inventor

Stanko Bodnar et al.

Group Art Unit

3763

Examiner Name

Attorney Docket Number

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### U.S. PATENT DOCUMENTS

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		Code <sup>2</sup> Number known)	Kind (if)			
		5,851,231		Wolff et al.	12/22/98	
		5,861,027		Trapp, R.	1/19/99	
		5,865,814		Tuch, R.	2/2/99	
		5,871,535		Wolff et al.	2/16/99	
		5,879,697		Ding et al.	3/9/99	
		5,882,335		Leone et al.	3/16/99	
		5,820,917		Tuch, R.	10/13/98	
		5,837,313		Ding et al.	11/17/98	
		5,728,150		McDonald et al.	3/17/98	
		5,283,257		Gregory et al.	2/1/94	
		5,288,711		Mitchell et al.	2/22/94	
		5,516,781		Morris et al.	5/14/96	
		5,563,146		Morris et al.	10/8/96	
		5,646,160		Morris et al.	7/8/97	
		3,657,744		Ersek	4/25/72	
		4,503,569		Dotter	3/12/85	
		4,553,545		Maass et al.	11/19/85	
		4,733,665		Palmaz	3/29/88	
		4,776,337		Palmaz	10/11/88	
		5,102,417		Palmaz	4/7/92	
		5,195,984		Shalz	3/23/93	
		5,290,305		Inoue	3/1/94	
		5,421,955		Lau	6/6/95	
		5,496,365		Sgro	3/5/96	
		5,527,354		Fontaine et al.	6/18/96	
		5,569,295		Lam	10/29/96	
		5,591,197		Orth et al.	1/7/97	

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# INFORMATION DISCLOSURE STATEMENT BY APPLICANT

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Sheet 7 of 10

## U.S. PATENT DOCUMENTS

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		Number	Kind Code <sup>2</sup> (if known)			
		4,292,965		Nash et al.	10-06-1981	
		4,613,665		Larm	09-23-1986	
		4,655,771		Wallsten	04-07-1987	
		4,872,867		Joh	10-10-1989	
		4,916,193		Tang et al.	04-10-1990	
		4,954,126		Wallsten	09-04-1990	
		5,053,048		Pinchuk	10-01-1991	
		5,061,275		Wallsten et al.	10-29-1991	
		5,092,877		Pinchuk	03-03-1992	
		5,163,952		Froix	11-17-1992	
		5,180,366		Woods	01-19-1993	
		5,182,317		Winters et al.	01-26-1993	
		5,185,408		Tang et al.	02-09-1993	
		5,226,913		Pinchuk	07-13-1993	
		5,258,020		Froix	11-02-1993	
		5,262,451		Winters et al.	11-16-1993	
		5,292,802		Rhee et al.	03-08-1994	
		5,304,121		Sahatjian	04-19-1994	
		5,308,889		Rhee et al.	05-03-1994	
		5,338,770		Winters et al.	08-16-1994	
		5,356,433		Rowland et al.	10-18-1994	
		5,380,299		Feamot et al.	01-10-1995	
		5,415,619		Lee et al.	05-16-1995	
		5,419,760		Narciso, Jr.	05-30-1995	
		5,429,618		Keogh	07-04-1995	
		5,447,724		Heimus et al.	09-05-1995	
		6,214,901	B1	Chudzik et al.	04-10-2001	
		6,258,121	B1	Yang et al.	07-10-2001	
		2001/0007083	A1	Roorda (PATENT APP. PUBLICATION)	07-05-2001	

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INFORMATION DISCLOSURE  
STATEMENT BY APPLICANT(use as many sheets as necessary)  
Sheet 8 of 10

Application Number	09/966,783
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First Named Inventor	Stanko Bodnar et al.
Group Art Unit	3763
Examiner Name	
Attorney Docket Number	CRD-0967



FOREIGN PATENT DOCUMENTS								
Examiner Initials	Cite No. <sup>1</sup>	Foreign Patent Document			Name of Patentee or Applicant of Cited Document	Date of Publication of Cited Document mm-dd-yyyy	Pages, Columns, Lines, where relevant passages or relevant figures appear	T <sup>6</sup>
		Office <sup>3</sup>	Number <sup>4</sup>	KindCode <sup>5</sup>				
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Examiner Signature	Date Considered
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<i>Application Number</i>	09/966,783
<i>Filing Date</i>	September 28, 2001
<i>First Named Inventor</i>	Stanko Bodnar et al.
<i>Group Art Unit</i>	3763
<i>Examiner Name</i>	
<i>Attorney Docket Number</i>	CRD-0967

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## U.S. PATENT DOCUMENTS

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